

**UNITED STATES COURT OF APPEALS
FOR THE EIGHTH CIRCUIT**

No: 18-3689

Gerald J. Klein, on behalf of himself and all similarly situated

Plaintiff

Roderick Ford

Plaintiff - Appellee

v.

TD Ameritrade Holding Corporation; TD Ameritrade, Inc.; Frederic J. Tomczyk

Defendants - Appellants

Securities Industry and Financial Markets Association; Chamber of Commerce of the United
States of America

Amici on Behalf of Appellant(s)

Better Markets, Inc.

Amicus on Behalf of Appellee(s)

Appeal from U.S. District Court for the District of Nebraska - Omaha
(8:14-cv-00396-JFB)

JUDGMENT

Before COLLOTON, GRUENDER, and GRASZ, Circuit Judges.

This appeal from the United States District Court was submitted on the record of the district court, briefs of the parties and was argued by counsel.

After consideration, it is hereby ordered and adjudged that the judgment of the district court in this cause is reversed and the cause is remanded to the district court for proceedings consistent with the opinion of this court.

April 23, 2021

Order Entered in Accordance with Opinion:
Clerk, U.S. Court of Appeals, Eighth Circuit.

/s/ Michael E. Gans